City/Town/District State/U.T.

#### **NATIONAL PENSION SYSTEM (NPS)** SUBSCRIBER REGISTRATION FORM FOR NON RESIDENT INDIAN (NRI) AND OVERSEAS CITIZEN OF INDIA (OCI) Central Recordkeeping Agency (CRA) - KFINTECH-CRA recent photograph of Please select your category [Please tick ( ✓) ] Non Resident Indian (NRI) Overseas Citizen of India (OCI) 3.5 cm × 2.5 cm size / Passport size National Pension System Trust. Dear Sir/Madam I hereby request that an NPS account be opened in my name as per the particulars given below: \*indicates mandatory fields.Please fill the form in English and BLOCK letters with black ink pen. (Refer general guidelines at instructions page) KYC Number (if applicable) Generated from Central KYC Registry Retirement Adviser Code (If applicable) PERSONAL DETAILS: (Please refer to Sr. No.1 of the instructions) Name of Applicant in full Shri Smt. Kumari First Name\* Middle Name Last Name Applicant's Maiden Name (if any) Father's Name\* (Refer Sr. No. 1 of instructions) Mother's Name (Refer Sr. No. 1 of instructions) Father's name will be printed on PRAN card. In case, mother's name to be printed instead of father's name [ Please tick ( 🗸 ) ] (Date of Birth should be supported by relevant documentary proof) Date of Birth\* Place of Birth\* Country of Birth\* Gender\* [ Please tick (✓)] Nationality\* Male Female Transgender Marital Status\* Married Unmarried Divorced Spouse Name<sup>\*</sup> (Refer Sr. No. 1 of instructions) PAN Card\* As per the Prevention of Money-Laundering (Maintenance of Records), PAN or Form 60 is mandatory under NPS If you do not have PAN at present, please ensure that these details are provided within six months of submission of this Subscriber Registration Form. OCI Card **IDENTITY DETAILS\*** (Documents need to be provided ) [Please tick ( ✓ ) ] Passport □ (Mandatory for OCIs) Passport No. / OCI Card No. Date of issue Passport Expiry Date Place of issue 1 Visa/Work Permit Date of Expiry Passport with Visa/Work Permit [Please tick (✓)] Yes OCIs Foreign Passport No. 3. PROOF OF ADDRESS **Overseas Address Proof** Indian Address Proof (Mandatory for NRIs) (PoA)\* (Mandatory for OCIs) Passport/OCI Card / Passport/Driving License/UID (Aadhaar)/Voter ID card/NREGA Job Card/Ration Card/Bank Please tick (√), as applicable. #Not more Driving License / Others Passbook/Registered Lease/Sale agreement of residence/Municipal Tax Receipt/#Latest (specify) ...... Piped Gas/Water/Electricity/Telephone [Landline or postpaid mobile] Bill/Certificate issued than 2 months old. by Magistrate, DC, MLA, MP, Govt Depts., Authorities, PSBs, PSUs, Fis & POPs. Please refer Sr.No. 2 of the instructions 4.1 OVERSEAS ADDRESS DETAILS\* - Proof of Overseas Address is Mandatory for OCIs. Address Type\* Residential/Business Residential Business Registered Office Unspecified Address 1 Address 2 City State / Province Country ZIP / PIN Code 4.2 INDIAN ADDRESS DETAILS\* - Proof of Indian Address in Mandatory for NRIs Address Type\* Residential/Business Residential Business Registered Office Unspecified Flat/Room/Door/Block no. Landmark Premises/Building/Village Road/Street/Lane Area/Locality/Taluk

PIN Code

5.	PREFERRED ADDRESS FOR COMMUNICATION		
	[Please tick(✓)] Overseas Address ☐ Indian Address ☐ (Communication at overseas address would entail extra charges)		
6.	CONTACT DETAILS* (Include country code for Overseas phone numbers)  Landline Phone* (Overseas Nowith ISD code) +		
	Email ID*		
7.	OTHER DETAILS ( Please refer to Sr no. 3 of the instructions )		
	▶ Occupation Details* [ please tick(✓) ]         Private Sector       Public Sector       Government Sector       Professional         Self Employed       Homemaker       Student       Others (please specify)         Income Range (Rs. per annum)       Upto 1 lac       1 lac to 5 lac       5 lac to 10 lac       10 lac to 25 lac       25 lac and above         Educational Qualifications       Below 10 <sup>th</sup> std       10 <sup>th</sup> 12 <sup>th</sup> Graduate       Masters       Professionals ( CA, CS, CMA, etc.)         Please Tick (✓) If Applicable       Politically exposed person       Related to Politically exposed Person       (Please refer instruction no.3)		
8.	SUBSCRIBER BANK DETAILS* ( Please refer to Sr no. 4 of the instructions )#		
	(All bank details are mandatory except MICR Code)  Account Type [ please tick(✓) ] NRE Account NRO Account  Bank A/c Number		
	Bank Name		
	Branch Name Branch Address PIN Code/ZIP		
	City Country		
	Bank MICR Code IFS Code		
	# NRIs/OCIS should make contributions through NRE/FCNR/NRO account only.		
9.	SUBSCRIBERS NOMINATION DETAILS* (Please refer to Sr. No . 5 of the instructions)  Name of the Nominee (You can nominate up to a maximum of 3 nominees and if you desire so please fill in Annexure II (Additional Nomination Form) provided separately		
	First Name  Middle Name  Middle Name  Middle Name  Last Name		
	Relationship with the Nominee Date of Birth (In case of Minor)		
	Nominee's Guardian Details (in case of a minor)  First Name  Middle Name  Last Name		
	First Name Middle Name Last Name		
10.	REPATRIATION OPTION (Please tick (✓) as applicable)		
	I would like to open account on* Repatriation Basis Non-Repatriation Basis		
	For Repatriation of corpus, the contributions should be made from NRE account only.  I would like my PRAN to be printed in Hindi  YES NO If Yes, please submit details in Annexure I		
11.	PENSION FUND (PF) SELECTION AND INVESTMENT OPTION* (Please refer to Sr. No. 6 of the instructions)		
	(i) PENSION FUND SELECTION (Tier I): Please read below conditions before opting for the choice of Pension Funds:  (a) Subscriber to choose any one of the PFs as per their choice, in the table below:  *Names of the Pension Funds are given in alphabetical order. * Selection of Pension Fund is mandatory both in Active and Auto Choice.  Name of the Pension Fund (Please select only one) Please Tick (\forall Only One Aditya Birla Sunlife Pension Management Limited  Axis Pension Fund Management Limited  MAX Life Pension Fund Management Limited		
	HDFC Pension Management Company Limited SBI Pension Funds Private Limited  ICICI Prudential Pension Fund Mgmt. Company Ltd. Tata Pension Management Limited		
	Kotak Mahindra Pension Fund Limited  UTI Retirement Solutions Limited		
	(ii) INVESTMENT OPTION (Please Tick (✓) in the box given below showing your investment option).  Active Choice Auto Choice Please note:  1. In case you select Active Choice fill up section (iii) below and if you select Auto Choice fill up section (iv) below.  2. In case you do not indicate any investment option, your funds will be invested in Auto Choice (LC 50).  3. In case you have opted for Auto Choice and fill up section (iii) below relating to Asset Allocation, the Asset Allocation instructions will be ignored and investment will be made as per Auto Choice (LC 50).		
	(iii) ACTIVE CHOICE – ASSET ALLOCATION (to be filled up only in case you have selected 'Active Choice' the investment option)  Asset class E-Equity and related instruments; Asset class C-Corporate debt and		
	Asset Class (Cannot exceed 75%) (Max up to 100%) (Cannot exceed 5%) (C		
	Please note: 1. Upto 50 years of age, the maximum permitted Equity Investment is 75% of the total asset allocation. 2. From 51 years and above, maximum permitted Equity Investment will be as per the equity allocation matrix provided in Annexure A. The tapering off of equit allocation will be carried out as per the matrix on date of birth. 3. The total allocation across E, C, G and A asset classes must be equal to 100%. In case, the allocation is left blank and/or does not equal 100%, the application sha be rejected.  (iv) Auto Choice Option (to be filled up only in case you have selected the 'Auto Choice' investment option). In case, you do not indicate a		
	choice of LC, your funds will be invested as per LC 50.  Life Cycle (LC)Funds Please Tick ( ) Only One Note: 1 LC 75. It is the Life cycle fund where the Can to Equity investments is 75% of the total asset		
	Note: 1. LC 75- It is the Life cycle fund where the Cap to Equity investments is 75% of the total asset LC 75  LC 50- It is the Life cycle fund where the Cap to Equity investments is 50% of the total asset 2. LC 50- It is the Life cycle fund where the Cap to Equity investments is 50% of the total asset 2. LC 50- It is the Life cycle fund where the Cap to Equity investments is 50% of the total asset 2. LC 50- It is the Life cycle fund where the Cap to Equity investments is 50% of the total asset 2. LC 50- It is the Life cycle fund where the Cap to Equity investments is 50% of the total asset 2. LC 50- It is the Life cycle fund where the Cap to Equity investments is 50% of the total asset 2. LC 50- It is the Life cycle fund where the Cap to Equity investments is 50% of the total asset 2. LC 50- It is the Life cycle fund where the Cap to Equity investments is 50% of the total asset 2. LC 50- It is the Life cycle fund where the Cap to Equity investments is 50% of the total asset 2. LC 50- It is the Life cycle fund where the Cap to Equity investments is 50% of the total asset 2. LC 50- It is the Life cycle fund where the Cap to Equity investments is 50% of the total asset 2. LC 50- It is the Life cycle fund where the Cap to Equity investments is 50% of the total asset 2. LC 50- It is the Life cycle fund where the Cap to Equity investments is 50% of the total asset 2. LC 50- It is the Life cycle fund where the Cap to Equity investments is 50% of the total asset 2. LC 50- It is the Life cycle fund where the Cap to Equity investments is 50% of the total asset 2. LC 50- It is the Life cycle fund where the Cap to Equity investments is 50% of the total asset 2. LC 50- It is the Life cycle fund where the Cap to Equity investments is 50% of the total asset 2. LC 50- It is the Life cycle fund where the Cap to Equity investments is 50% of the total asset 2. LC 50- It is the Life cycle fund where 2. LC 50- It is the Life cycle fund where 2. LC 50- It is the Life cycle fund where 2. LC 50- It is the Life cycle fund where 2. LC		
	3. LC 25- It is the Life cycle fund where the Cap to Equity investments is 25% of the total asset		
I			

/er 1.2					
12. DECLARATION ON FATCA* (Foreign Acc	12. DECLARATION ON FATCA* (Foreign Account Tax Compliance Act) COMPLIANCE ( Please refer to Sr no. 7 of the instructions ):				
Section I*					
US Person* Yes No					
Section II*					
For the purposes of taxation, I am a resident in the following countries and my Tax Identification Number (TIN)/functional equivalent in each country is set out below or I have indicated that a TIN/functional equivalent is unavailable (kindly fill details of all countries of tax residence if more than one):					
Particulars		Country (1)	Country (2)	Country (3)	
Country/countries of tax residency					
	Address Line 1				
Address in the jurisdiction for Tax	City/Town/Village				
Residence	State				
	ZIP/Post Code				
Tax Identification Number (TIN)/Functional ed	quivalent Number				
TIN/ Functional equivalent Number Issuing C	ountry				
Validity of documentary evidence provided (Wh	erever applicable)	thylyv	flylyry	Englysy	
To certify that:  a) It shall be my responsibility to educate myself and to comply at all times with all relevant laws relating to reporting under section 285BA of the Act read with the Rules 114F to 114H of the Income tax Rules, 1962 thereunder and the information provided in the Form is in accordance with the aforesaid rules,  b) the information provided by me in the Form, its supporting Annexures as well as in the documentary evidence are, to the best of my knowledge and belief, true, correct and complete and that I have not withheld any material information that may affect the assessment/categorization of the account as a Reportable account or otherwise.  c) I permit/authorise the NPS Trust to collect, store, communicate and process information relating to the Account and all transactions therein, by the NPS Trust and any of NPS intermediaries wherever situated including sharing, transfer and disclosure between them and to the authorities in and/or outside India of any confidential information for compliance with any law or regulation whether domestic or foreign.  d) I undertake the responsibility to declare and disclose within 30 days from the date of change, any changes that may take place in the information provided in the Form, its supporting Annexures as well as in the documentary evidence provided by me or if any certification becomes incorrect and to provide fresh self-certification along with documentary evidence,  e) I also agree that in case of my failure to disclose any material fact known to me, now or in future, the NPS Trust may report to any regulator and/or any authority designated by the Government of India (GOI) /RBI//RDA/PFRDA for the purpose or take any other action as may be deemed appropriate by the NPS Trust if the deficiency is not remedied by me within the stipulated period.  f) I hereby accept and acknowledge that the NPS Trust shall have the right and authority to carry out investigations from the information available in public domain for confirming the information provided by me					
Place :			Signature/Thumb Impr (* LTI in case of male and	1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	
Name of Applicant					
13. DECLARATION BY APPLICANT* ( Please refer to Sr no. 8 of the instructions )  Declaration & Authorization by all applicant  I have read and understood the terms and conditions of the National Pension System and hereby agree to the same along with the PFRDA Act, regulations framed thereunder and declare that the information and documents furnished by me are true and correct, to the best of my knowledge and belief. I undertake to inform immediately the Central Record Keeping Agency/National Pension System Trust, of any change in the above information furnished by me. I do not hold any pre-existing account under NPS. I understand that I shall be fully liable for submission of any false or incorrect information or documents.  I further agree to be bound by the terms and conditions of provision of services by intermediaries registered with PFRDA, from time to time and any amendment thereof as approved by PFRDA, whether complete or partial without any new declaration being furnished by me. I shall be bound by the terms and conditions for the usage of I-PIN (to access CRA website and view details) & T-PIN.  Declaration under the Prevention of Money Laundering Act, 2002  I hereby declare that the contribution paid by me/on my behalf has been derived from legally declared and assessed sources of income. I understand that NPS Trust has the right to close my PRAN in case I am found violating the provisions of any law relating to prevention of money laundering.  Date    Declaration under the Prevention of Money Laundering to prevention of money laundering.    Diagrams					
			(* LTI in case of male and	ı Kılın case of females)	

14. DECLARATION BY EMPLOYER / CO	RPORATE		
Empleyees Em	Applicable to Corpo aployment Details to be filled and a	rate Employees only	All Details are Mandatons
Date of Joining			M M Y Y Y Y
Employee Code/ID			100, 101, 1, 1, 1, 1, 1, 1, 1, 1, 1, 1, 1, 1, 1
Corporate Regd. Number (CHO No.) A	Jotted by CRA		
CBO No. allotted by CRA			
*			
Certified that the details provided in thi		unlayed maintained by ye	employed with us, including the
the entries / entries have been read ov			Also, it is further certified that he / she has read
150	or to min / nor by as and got commi	ed by fill 17 flet.	
Date :			
Place :			
Signature of the Authorised	person (in the box above)	Rubber St	amp of the Corporate (in the box above)
15. DECLARATION BY POINT OF PRESI	ENCE (POP)		
Receipt No. (17 digits)			
POP-SP Registration Number			
Document accepted for date of Birth P	roof:		
Copy of PAN card submitted	Yes No K	YC Compliance	Yes No
200			
Documents Received:	(Originals Verified) Self Certifie	d (Attested) T	rue Copies
Identity Verification	Done		
Existing Customer of the POP:			
	nt/Kumis an existing	KYC verified customer.	
I/we hereby certify/confirm that Shri/Smt/Kum is an existing KYC verified customer.  The above applicant is having an operative Bank / Demat / Folio / account (specify nature of the account) having account number			nature of the account) having account number /
client IDmaintained at	branch / office. The KYC do	ocuments available with u	us for this NRI/OCI customer / client matches the
requirement for opening NPS account	and are in compliance with PML Rule	es. I/We further confirm th	at the Bank a/c of Sh / Smt / Kumis
an NRE/NRO account (applicable in ca	ase of Bank PoP)		
To be filled by POP-SP		Name:	
		Designation:	Place:
POP-SP Seal	Signature of Authorized Signate	ory Date d	d / m m / y y y y
	[To be filled by C	RA - Branch]	
Received by		CRA Branch	
Received at			Date d d / m m / y y y
Acknowledgement Number (by CRA-FC)			
PRAN Allotted			
	ACKNOWLED	DGEMENT	
Name of the Outroother			
Name of the Subscriber:			
Contribution Amount Remitted:	₹		
Date of Receipt of Application and Cont	ribution Amount:	mi / y y y y	
		_	
			Stamp and Signature of the PoP:

### INSTRUCTIONS FOR FILLING THE SUBSCRIBER REGISTRATION FORM

#### **General Guidelines**

(a)

- Please fill the form in legible handwriting so as to avoid errors in your application processing. Please do not overwrite. Corrections should be made by cancelling and re-writing and such corrections should be countersigned by the applicant. Each box, wherever provided, should contain only one character (alphabet / number / punctuation mark) leaving a blank box after each word. In case, you mention the CKYC number submission of proof for the same is necessary.

  Applications incomplete in any respect and/or not accompanied by required documents are liable to be rejected. The application is liable to be rejected if mandatory fields are left blank or the application form
- Applications incomplete in any respect and/or not accompanied by required documents are liable to be rejected. The application is liable to be rejected in mandality fields are fall blank of the photograph. The photograph should not be stapled or clipped to the form. If there is any mark on the photograph such that it hinders the clear visibility of the face of the applicant, the application shall not be accepted.

  Copies of all the documents submitted by the applicant should be self-attested and accompanied by originals for verification by the office of point of presence. (d)

(f)	Copies of all the documents submitted by the applicant should be self-attested and accompanied by originals for verification by the office of point of presence.  Name and Address of the applicant mentioned on the form, should match with the documentary proof submitted.  The applicant thumb's impression should be verified by the designated officer of POP-SP.							
S. No	Item No.	Item Details	Instructions					
	1	Personal Details	. This Form is applicable only for Non Resident Indians (NRIs) & Overseas Citizen of India (OCIs) i. Currently, Foreign Nationals and Persons of Indian Origin (PIO) are not allowed to open PRAN. ii. The applicant shall mention father's name and mother name and shall select the option to be printed on PRAN Card					
		Spouse Name	If married, spouse name is mandatory.					
1		Father's Name	i. Father's name is mandatory. ii. If father's name has more than 30 digits, you may fill Annexure II for the same.					
		Mother's Name	i. Mother's name is mandatory					
		Date of Birth	ii. If Mother's name has more than 30 digits, you may fill Annexure II for the same.  Please ensure that the date of birth matches as indicated in the document provided in the support.					
		S.No Proof of Address (Copy of any one) - For NRIs S.No Proof of Address (Copy of any one) - For NRIs						
			1 Passport issued by Government of India 1 Passport issue by Country of his/her Citizenship					
			2 Ration card with photograph and residential address 2 Certificate of Registration - Overseas Citizen of India 3 Bank Pass book or Bank certificate with photograph and 3 Valid Driving License with photograph and residential address					
			residential address					
			4 Certificate of the POP for an existing customer. 4 NRE/NRO Bank Pass book or Bank's certificate with photograph and residential address					
			5 Voters Identity card with photograph and residential address 5 Bank account statement in the country of residence					
			6 Valid Driving license with photograph and residential address 6 Any other document issued by the Government of India or the Government of the Country of his/her Citizenship evidencing the overseas address provided					
			7 Letter from any recognized public authority at the level of Gazetted officer like District Magistrate, Divisional commissioner, BDO, Tehsildar, Mandal Revenue Officer, Judicial Magistrate					
			etc.  8 Certificate of address with photograph signed by a Member of					
			Parliament or Member of Legislative Assembly  9 Aadhar Card / letter issued by Unique Identification Authority of					
		Address Details	India clearly showing the address					
	3, 4		10 Job cards issued by NREGA duly signed by an officer of the State Government					
2	& 5		11 The identity card/document with address or letter of allotment					
			of accommodation issued by any of the following: Central/ State Government and its Departments, Statutory/Regulatory					
			Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Financial Institutions and listed companies for their					
			employees. Pension or Family Pension Payment Orders issued					
			by Govt. Departments or PSÚ containing address.  12 Latest Electricity/water/piped gas bill in the name of the					
			Subscriber / Claimant and showing the address (less than 2 months old)					
			13 Latest Telephone bill (landline & postpaid mobile) in the name of					
			the Subscriber / Claimant and showing the address (less than 2 months old)					
			14 Latest Property/house Tax receipt (not more than one year old)					
			15 Existing valid registered lease agreement of the house on stamp paper ( in case of rented/leased accommodation)					
			Note:					
			(i) If the address in the identity proof is same as that declared by him/her in the account opening form, the document may be accepted as a valid proof of both identity and address.					
			(ii) If the address indicated on Passport / OCI Card differs from the address mentioned in the account opening form, a separate proof of address should be obtained.					
			(iii) An NRI applicant is required to furnish an Indian address.					
Other Details (Occupation Details)  Other Details (Occupation Details)  Fund transfers by NRIs /OCIs would be subject to regulatory requirements as prescribed by RBI / Government from time to time and FEMA recommendation of the comment of the com								
3	7	Politically Exposed	Politically Exposed Persons' (PEPs) are individuals who are or have been entrusted with prominent public functions in a foreign country, for example heads of					
		Person	state or of the government, senior politicians, senior government, judicial or military officials, senior executives of state-owned corporations, important political party officials.					
			Applicant is required to provide the details of NRE/NRO account only . Please attach proof for the bank details containing Subscriber Name, Bank Name, Bank Account Number and IFS Code (any one of the following)					
		Applicant Bank Details	(i) Cancelled Cheque					
4	8		(ii) A copy of bank passbook (iii) Bank statement					
			(iv) Bank certificate					
-	-	NI-selection Dec 9	(v) Letter from Bank In case of more than one nominee, percentage share value for all the nominees must be integer. Decimals/Fractional values shall not be accepted in the					
5	9	Nomination Details	nomination(s). Sum of percentage share across all the nominees must be equal to 100. If sum of percentage is not equal to 100, entire nomination will be rejected.					
6	11	Pension Fund (PF) Selection and	For more details on 'Investment Option', you may visit NPS Trust website <www.npstrust.org.in></www.npstrust.org.in>					
		Investment Option	Clarification / Cuidalings on filling details if applicant regidence for tax assumes as in installation (a) asked to be de-					
			Clarification / Guidelines on filling details if applicant residence for tax purposes in jurisdiction(s) outside India - Jurisdiction(s) of Tax Residence: Since US taxes the global income of its citizen, every US citizen of whatever nationality, is also a resident for tax purpose in					
			USA. • Tax identification Number (TIN): TIN need not be reported if it has not been issued by the jurisdiction. However, if the said jurisdiction has issued a high integrity					
7	12	Declaration by Applicant on FATCA Compliance	number with an equivalent level of identification (a "Functional equivalent"), the same may be reported. Examples of that type of number for individual include,					
			a social security/insurance number, citizen/personal identification/services code/number and resident registration number)  If applicant residence for tax purpose in jurisdiction(s) within India, Permanent Account Number (PAN) to be provided as Tax Identification Number (TIN)					
			<ul> <li>In case applicant is declaring US person status as 'No' but his/her Country of Birth is US, document evidencing Relinquishment of Citizenship should be provided or reasons for not having relinquishment certificate is to be provided</li> </ul>					
8	12	Declaration by Applicant	Signature / Thumb impression should only be within the box provided in the form. Thumb impression, if used, should be attested by the designated officer of POP/					
	Declaration by Applicant POP-SP with the official seal and stamp. Left Thumb Impression in case of males and Right Thumb Impression in case of females.							

# **General Information for Applicant**

- The applicant can obtain the status of his/her application from CRA and their designated nodal officer.

  Applicant are advised to retain the acknowledgement slip signed/ stamped by the designated nodal officer where they submit the application. For more information / clarifications, contact CRA:

Website: https://nps.kfintech.com/

Address: KFin Technologies Pvt. Ltd. Tower – B, Plot No 31 & 32, Selenuim Building, Financial District,

Nanakramguda, Gachibowli, Hyderabad - 500 032

# **Equity Allocation Matrix for Active Choice**

Age (years)	Max. Equity Allocation
Upto 50	75%
51	72.50%
52	70%
53	67.50%
54	65%
55	62.50%
56	60%
57	57.50%
58	55%
59	52.50%
60 & above	50%

# Please note:

- 1. Upto 50 years of age, the maximum permitted Equity Investment is 75% of the total asset allocation.
- 2. From 51 years and above, maximum permitted Equity Investment will be as per the equity allocation matrix provided above. The tapering off of equity allocation will be carried out as per the matrix on date of birth.