

NATIONAL PENSION SYSTEM
REQUEST FOR SCHEME PREFERENCE CHANGE AND OR SWITCH
KFIN TECHNOLOGIES LIMITED
PLEASE FILL ALL THE DETAILS IN CAPITAL LETTERS & IN BLACK INK

Receipt No.: _____

Receipt Date _____/_____/_____

PRAN*												
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Scheme Preference change and/or switch*: Tier I ☐ Tier II ☐

Name of the Subscriber*: _____

POP-SP/Nodal office Registration No.*: _____

POP-SP/Nodal office Name*: _____

Sector*	All Citizen Model		Scheme Preference Change and / or Switch applicable for Tier II Account Only
	Corporate		
	Government		

A.1 Investment option* (Choose Either Active Choice or Auto Choice. Please Tick)

Active Choice	Auto Choice	If Auto Choice is selected then life cycle selection is mandatory		
		Aggressive life cycle	Moderate life cycle	Conservative life cycle
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

A.2 Selection of PFM*

- Select a Maximum of 3 PFMs in combination of E / C / G / A.
- Asset Allocation (to be filled only in case you have selected Active Choice Investment Option)

PFM Code	Name of Pension Fund Manager
PFM001	SBI Pension Funds Private Limited
PFM002	UTI Retirement Solutions Limited
PFM003	LIC Pension Fund Limited
PFM005	Kotak Mahindra Pension Fund Limited
PFM007	ICICI Prudential Pension Funds Management Company Ltd
PFM008	HDFC Pension Management Company Limited
PFM010	Birla Sun Life Pension Management Limited
PFM011	Tata Pension Management limited
PFM012	Max Life Pension Fund Management Limited
PFM013	Axis Pension Fund Management Limited
PFM014	DSP Pension Fund Managers Private Limited

	Asset Class	Mention PFM Code	% Share
Tier I	Scheme E (Maximum 75%)		
	Scheme C (Maximum 100%)		
	Scheme G (Maximum 100%)		
	Scheme A (Maximum 5%)		
	TOTAL		100%

	Asset Class	Mention PFM Code	% Share
Tier II	Scheme E (Maximum 100%)		
	Scheme C (Maximum 100%)		
	Scheme G (Maximum 100%)		
	TOTAL		100%

Selection of PFM is Mandatory both in Active and Auto Choice. In case you do not indicate any choice of PFM, your application form shall be rejected,

Declaration & Authorization

1. I have understood that the above Scheme Preference requested through this form will be applicable to existing holdings in my PRAN as well as to the prospective subscriptions and there may be gain/loss due to change in Net Asset Value (NAV) of the schemes.
2. Declaration under the Prevention of Money Laundering Act, 2002 hereby declare that:
 - a. The contribution paid has been derived from legally declared and assessed sources of income
 - b. I understand that the PFRDA/NPS Trust has the right to peruse my financial profile and also agree that the PFRDA/NPS Trust has the right to close the NPS account in case am found guilty of violating the provisions of any Law, directly or indirectly, by any Competent Court of Law, having relation to the laws governing prevention of money laundering in the country.

	For Nodal Office/POP-SP use:	Nodal Office/POP-SP Stamp with date:
Subscriber's Signature/ Thumb Impression	<div style="border-bottom: 1px solid black; height: 20px; margin-bottom: 5px;"></div> Name & Signature	

Instructions for filling the Form:

- All fields mark with (*) are mandatory. All Dates should be in DDMMYYYY Format.
- The Subscribers shall submit the application to POP-SP/Nodal office through which they have registered with CRA.
- For more details on terms and conditions of switch, please refer to the Offer Document
- Please use separate form for Tier I and Tier II for Scheme Preference change and/or switch.
- Subscriber of Government sector (having Tier I account in Government sector) can submit request only for Tier II account.

Subscriber Scheme Preference and/or switch**Active choice:**

1. PFM selection is mandatory, the form shall be rejected if a PFM choice is not clearly entered.
2. The Asset class wise exposure limits that will now be applicable to subscribers under Tier I and Tier II as tabulated below:
3. A subscriber opting for active choice may select the available asset classes ("E", "G", "C" & "A"). However, the sum of percentage allocation across all the selected asset classes must equal 100. If the sum of percentage allocations is not equal to 100%, or the asset allocation table is left blank, the application shall be rejected.

Tier -I		Tier -II	
ASSET CLASS	MAX LIMIT	ASSET CLASS	MAX LIMIT
ASSET CLASS G (GOVERNMENT SECURITIES)	100%	ASSET CLASS G (GOVERNMENT SECURITIES)	100%
ASSET CLASS C(CORPORATE BONDS)	100%	ASSET CLASS C(CORPORATE BONDS)	100%
ASSET CLASS E(EQUITY)	75%	ASSET CLASS E(EQUITY)	100%
ASSET CLASS A(ALTERNATE-ASSET)	5%		

4. Maximum 3 PFM can be selected by Subscriber.
5. In Tier -I, Subscriber can select Scheme A out of 3 PFMs selected by Subscriber.

Auto choice:

1. FM selection is mandatory, the form shall be rejected if a PFM choice is not clearly entered.
2. In case of Auto choice, do not fill up section for Asset Allocation. In case you do, the Asset Allocation instructions will be ignored and investment will be made as per Auto Choice.

In case both the investment option and the asset allocation are left blank, the subscriber's funds will be invested as per Auto Choice.

Acknowledgement to the Subscriber

Scheme Preference change and/or switch: Tier I Tier II

Name of the Subscriber: _____ PRAN*

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POP-SP/Nodal office Name: _____

POP-SP/Nodal office Registration Number: _____ Date: __/__/____ Time Stamp: _____

Receipt Number:

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(To be provided by POP-SP)

POP Transaction charges:

Rs.

Service Tax (as applicable):

Rs.

Total Charges:

Rs.

Signature and Stamp Nodal Office/POP-SP